Sheet <u>1</u> of <u>4</u>

ATTY. DOCKET NO. SERIAL No. U.S. DEPARTMENT COMME PATENT AND TRADEMARKS 10/633,823 7175-73257 INFORMATION DISCLOSURE STATEMENT **APPLICANT** Richard H. Heimbrock, et al. GROUP **FILING DATE** August 4, 2003 Unknown Filing Date \*Examiner Class **Subclass** Date Name **Document Number** if Appropriate Initial Apr. 5, 1881 Nash 239,664 A AA 9 Jun. 3, 1884 Nash 299,670 A AB Dec. 21, 1886 Pfeiffer 354,579 A AC Clifton Mar. 4, 1902 AD 694.353 A Feb. 14, 1911 Jardin 984,374 A AE 1,344,088 A Jun. 22, 1920 Lundborn AF 00 Oct. 25, 1932 Bradley AG 1,884,552 A Zimmermann OGI Mar. 1933 1,900,478 A AH 5 May, 1933 Kroll 00 1,907,298 ΑI D () Aug. 1949 Travis AJ 2,478,028 A 430 Jul. 1957 Leone, et al. 2,799,869 AL 430 Feb. 1959 **Balonick** 2,871,490 A AM 430 Maertins Mar. 1961 AN 2,976,548 Hamilton 3,012,253 Jan. 13, 1960 AL FOREIGN PATENT DOCUMENTS Translation Subclass Country Class Date **Document Number** Yes May 1978 Canada AM CA 1031505 00 May 1971 Germany DE 1954076 AN 4.25 F DE 3510707 Oct. 1986 Germany AO Jun. 1935 Great Britain AP GB 430276 **Great Britain** 100 May 1950 GB 637951 AR OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) AS ΑT AU ΑV AW AX Date Considered Examiner \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 4

| U.S. DEPARTMENT BOOM ERCE<br>PATENT AND TRADEMARK OFFICE   |            |                             |                               | ATTY. DOCKET NO.<br>7175-73257 |                  | SERIAL No.<br>10/633,823              |  |  |
|--|------------|-----------------------------|-------------------------------|--------------------------------|------------------|---------------------------------------|--|--|
| I PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT   |            |                             | APPLICANT                     |                                |                  |                                       |  |  |
|  |            |                             | Richard H. Heimbrock, et al.  |                                |                  |                                       |  |  |
|  |            |                             | FILING DATE<br>August 4, 2003 |                                | GROUP<br>Unknown | !                                     |  |  |
| August 4, 2000   Clintowil   |            |                             |                               |                                |                  |                                       |  |  |
| *Examiner<br>Initial   |            | Document Number             | Date                          | Name                           | Class            | Subclass                              | Filing Date if Appropriate                       |  |
| RS   | BA         | 3,063,066 A                 | Nov. 13, 1962                 | Peck, et al.                   | 5                | 429                                   |  |  |
| 1  | ВВ         | 3,345,653 A                 | Oct. 10, 1967                 | Mixon                          | 5                | 97                                    | <del>                                     </del> |  |
|  | ВС         | 3,351,961                   | Nov. 1967                     | Daniels, et al.                | 5                | 430                                   | <del>                                     </del> |  |
|  | BD         | 3,419,922 A                 | Jan. 1969                     | Malherbe                       | 5                | -130                                  | <del>                                     </del> |  |
|  | BE         | 3,641,598 A                 | Feb. 1972                     | Feldstein                      | 5                | 100                                   | <del>                                     </del> |  |
|  | BF         | 3,763,507 A                 | Oct. 9, 1973                  | Propst                         | 5                | 700                                   | <del></del>                                      |  |
|  | BG         | 3,896,514 A                 | Jul. 1975                     | Feldstein                      | 5                | 100                                   | <del> </del>                                     |  |
|  | ВН         | 3,921,233 A                 | Nov. 25, 1975                 | Mann                           | 5                | 97                                    |  |  |
| ļ — — — — — — — — — — — — — — — — — — —  | BI         | 3,930,273 A                 | Jan. 1976                     | Stern                          | 5                | 430                                   | <del> </del>                                     |  |
|  | BJ         | 4,215,446 A                 | Aug. 1980                     | Mahoney                        | 5                | 425                                   | <del> </del>                                     |  |
|  | ВК         | 4,226,452 A                 | Oct. 1980                     | Hoffman, et al.                | 5                | 100x                                  | <del> </del>                                     |  |
| RS   | BL         | 4,439,880 A                 | Apr. 1984                     | Koncelik, et al.               | 5                | 425x                                  | <del>                                     </del> |  |
|  | <u> </u>   | DOCUMENTS                   |                               |                                | <del></del>      |                                       | <del></del>                                      |  |
|  | T          | Document Number             | Date                          | Country                        | Class            | Subclass                              | Translation<br>Yes No                            |  |
| R.S.   | ВМ         | GB 1094692                  | Dec. 1967                     | Great Britain                  |                  |                                       |  |  |
| RS   | BN         | WO 98/58570                 | Dec. 1998                     | wo                             | Γ                |                                       |  |  |
|  | ВО         |                             |                               |                                |                  |                                       |  |  |
|  | BP         |                             |                               |                                |                  |                                       |  |  |
|  | BR         |                             | <u> </u>                      |                                |                  |                                       | <u></u>  |  |
| OTHER REF  |            | ES (Including Author, Title | , Date, Pertinent Pa          | iges, Etc.)                    |                  |                                       |  |  |
|  | BS         |                             |                               |                                |                  |                                       |  |  |
|  | ВТ         |                             |                               |                                |                  |                                       |  |  |
|  | BU         |                             |                               |                                |                  |                                       |  |  |
|  | BV         |                             |                               |                                |                  | <del></del>                           |  |  |
|  | BW         |                             |                               |                                |                  | · · · · · · · · · · · · · · · · · · · |  |  |
|  |            |                             |                               |                                |                  |                                       |  |  |
|  | BX         |                             |                               |                                |                  |                                       |  |  |
|  | BY         |                             |                               |                                |                  |                                       |  |  |
|  | BZ         | <del></del>                 |                               |                                |                  |                                       | ***  |  |
| Examiner   |            | 2/148                       | <del>-</del>                  |                                |                  | Date Consid                           | dered 82004                                      |  |
| *EXAMINER  | Initial if | f reference considered, wh  | nether or not citation        | is in conformance wi           | ith MPEP 60      | 19.                                   | g. 2001  |  |
| Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |            |                             |                               |                                |                  |                                       |  |  |

Sheet <u>3</u> of <u>4</u>

| U.S. DEPARTMENT OF COMMERCE TRADE |         |           |                               |                       | ATTY. DOCKET NO.<br>7175-73257 |                              | SERIAL No.<br>10/633,823              |              |                            |
|-----------------------------------|---------|-----------|-------------------------------|-----------------------|--------------------------------|------------------------------|---------------------------------------|--------------|----------------------------|
| INFORMATION DISCLOSURE STATEMENT  |         |           |                               |                       |                                | APPLICANT 10/035,025         |                                       |              |                            |
|                                   |         |           |                               |                       |                                | Richard H. Heimbrock, et al. |                                       |              |                            |
|                                   |         |           | FILING DATE<br>August 4, 2003 |                       | GROUP<br>Unknown               |                              |                                       |              |                            |
| August 4, 2003 Unknown            |         |           |                               |                       |                                |                              |                                       |              |                            |
| *Examin                           | ner     |           | Document Number               | Date                  |                                | Name                         | Class                                 | Subclass     | Filing Date if Appropriate |
| R                                 | 7       | CA        | 4,530,528 A                   | Jul. 1985             | Sh                             | amie                         | 5                                     | X60\         |                            |
| 1 22                              |         | СВ        | 4,653,129 A                   | Mar. 1987             | Ku                             | ck, et al.                   | 5                                     | 400          |                            |
|                                   |         | СС        | 4,706,312 A                   | Nov. 1987             | Sh                             | amie                         | 5                                     | X60\         |                            |
|                                   |         | CD        | 4,752,977 A                   | Jun. 1988             | Sn                             | nith, et al.                 | 5                                     | 9.2.7        |                            |
|                                   |         | CE        | 4,827,545 A                   | May 1989              | Ап                             | p                            | 5                                     | 424          |                            |
|                                   |         | CF        | 5,044,025 A                   | Sept. 1991            | Ни                             | ınsinger, et al.             | 5                                     | 424          |                            |
|                                   |         | CG        | 5,068,935 A                   | Dec. 1991             | ├                              | gopian                       | 5                                     | 425x         |                            |
|                                   |         | СН        | 5,097,550 A                   | Mar. 1992             |                                | arra, Jr.                    | 5                                     | 424          |                            |
| +                                 |         | CI        | 5,146,632 A                   | Sept. 1992            | LI                             |                              | 5                                     | 100          |                            |
|                                   |         | CJ        | 5,175,897 A                   | Jan. 1993             |                                | arra, Jr.                    | 5                                     | 425          |                            |
| <del></del>                       | r       | СК        | 5,191,663 A                   | Mar. 1993             |                                | older, et al.                | 5                                     | 424          |                            |
| FOREIC                            | ZNI DAT |           | OCUMENTS                      | 14181. 1000           | 110                            | noor, et al.                 |                                       | 1020         | 1                          |
| POREIC                            | JN PAI  | ENID      | Document Number               | Date                  |                                | Country                      | Class                                 | Subclass     | Translation                |
|                                   | +       | CL        |                               |                       |                                |                              | -                                     |              | Yes No                     |
|                                   |         | CM        |                               | -                     | -                              |                              | <u> </u>                              |              |                            |
|                                   | -       | CN        |                               |                       |                                |                              |                                       |              |                            |
|                                   |         | CO        |                               |                       |                                |                              |                                       |              |                            |
|                                   |         | CP        |                               |                       | -                              |                              |                                       | <b>-</b>     |                            |
| OTHER                             | DEEE    |           | S (Including Author, Title,   | Date Pertinent I      | D200                           | e Etc.)                      | L                                     |              | <u> </u>                   |
| OTHER                             | KEFE    | CR        | .5 (moldaling Additor, Tide,  | Date, Fertillent i    | ago                            | , Lto./                      | · · · · · · · · · · · · · · · · · · · |              |                            |
|                                   |         | <b>.</b>  |                               |                       |                                |                              |                                       |              |                            |
|                                   |         | CS        |                               |                       |                                |                              |                                       |              |                            |
|                                   |         |           |                               |                       |                                |                              |                                       |              |                            |
|                                   |         | CT        |                               |                       |                                |                              |                                       |              |                            |
|                                   |         |           |                               |                       |                                |                              |                                       |              |                            |
|                                   |         | CU        |                               |                       |                                |                              |                                       |              |                            |
|                                   |         |           |                               |                       |                                |                              |                                       |              |                            |
|                                   |         | CV        | <del>-</del> -                |                       |                                |                              |                                       |              |                            |
|                                   |         | 2.7.      |                               |                       |                                |                              |                                       |              |                            |
|                                   |         | CW        |                               |                       |                                |                              |                                       |              |                            |
| ļ                                 |         | CX        |                               |                       |                                |                              |                                       | +            |                            |
|                                   |         |           |                               |                       |                                |                              |                                       | <del></del>  |                            |
|                                   |         | CY        |                               |                       |                                |                              |                                       | -            |                            |
|                                   |         | CZ        | <del></del>                   |                       |                                |                              | -                                     |              |                            |
| F                                 |         |           |                               |                       |                                |                              |                                       | Doto Carrie  | lorad                      |
| Examin                            | er (    | /_        | Sout & Son                    | toz                   |                                |                              |                                       | Date Consid  | X 2021                     |
| *EXAMI                            | NER: I  | nitial if | reference considered, who     | ether or not citation | on is<br>red.                  | in conformance w             | ith MPEP 6                            | 09. <i>L</i> | cation to applicant.       |

Sheet <u>4</u> of <u>4</u>

| U.S. DEPARTMENT OF COMMERCE ATTY. DOC PATENT AND TRADEMARK OFFICE 7175-7325  |                |    |                          |                     | ATTY. DOCKET NO<br>7175-73257                    |                   |                  | SERIAL No.<br>10/633,823 |                                       |
|--|----------------|----|--------------------------|---------------------|--|-------------------|------------------|--------------------------|---------------------------------------|
| PATENT AND TRADEMARK STATEMENT   |                |    |                          | APPLICANT           |  |                   |                  |                          |                                       |
|  |                |    |                          | Richard H. Heimbro  | ock, et al.                                      | CDOUD             |                  |                          |                                       |
|  |                |    |                          |                     | FILING DATE<br>August 4, 2003                    |                   | GROUP<br>Unknown |                          |                                       |
|  |                |    |                          |                     |  |                   |                  |                          |                                       |
| *Exam  |                | -  | Document Number          | Date                |  | Name              | Class            | Subclass                 | Filing Date if Appropriate            |
| P  | उ⊤ी            | DA | 5,317,770 A              | Jun. 7, 1994        | Sakurai  |                   | 5                | 625                      |                                       |
|  |                | DB | 5,511,257 A              | Apr. 30, 1996       | Han  | nes               | .5               | 100                      |                                       |
| <del> </del>   | i i -          | DC | 5,745,936 A              | May 1998            | Van  | McCutchen, et al. | 5                | 425 X                    |                                       |
|  |                | DD | 5,802,634 A              | Sep. 8, 1998        | Onis   | shi, et al.       | 5                | 93,2                     |                                       |
|  |                | DE | 5,806,111 A              | Sep. 1998           | Heir   | nbrock, et al.    | 5                | 86.1                     |                                       |
|  |                | DF | 5,878,452 A              | Mar. 1999           | Broo   | oke, et al.       | 5                | 428                      |                                       |
|  |                | DG | 5,898,964 A              | May 1999            | Star   | nley              | 5                | 425x                     |                                       |
| <u> </u>   |                | DH | 6,185,767 B1             | Feb. 2001           | <del> </del>                                     | oke, et al.       | 5                | 425 x                    |                                       |
|  | <del>   </del> | DI | 6,446,283 B1             | Sep. 2002           |  | nbrock, et al.    | 5                | 425                      |                                       |
| R  |                | DJ | 2003/0019035 A1          | Jan. 2003           |  | nbrock, et al.    | 5                | 428                      | · · · · · · · · · · · · · · · · · · · |
|  |                | DK |                          |                     | 114  |                   |                  | ~~~                      |                                       |
| FORE   |                |    | DOCUMENTS                |                     |  |                   | <u> </u>         | .l                       |                                       |
| 10112  |                |    | Document Number          | Date                |  | Country           | Class            | Subclass                 | Translation<br>Yes No                 |
|  |                | DL |                          |                     |  |                   |                  | · ·                      | 100 110                               |
|  | <del></del>    | DM |                          |                     |  |                   |                  | <u> </u>                 |                                       |
| <u> </u>   | <del>  </del>  | DN |                          |                     | <del>                                     </del> |                   | <u> </u>         | <del> </del>             |                                       |
|  | ~ <del>-</del> | DO |                          |                     |  | •                 |                  | 1                        |                                       |
|  | +              | DP |                          |                     | <del> </del>                                     |                   | <u> </u>         | <del> </del>             |                                       |
| OTHE   |                |    | ES (Including Author, Ti | tle. Date. Pertinen | t Page   | s. Etc.)          | L                |                          |                                       |
| 02   |                | DR | -co (moraomg ratios) re  | ,                   |  |                   | · · -            |                          |                                       |
|  |                |    |                          |                     |  |                   |                  |                          |                                       |
|  |                | DS |                          |                     |  |                   |                  |                          | ·                                     |
|  | DT             |    |                          |                     |  |                   |                  |                          |                                       |
|  |                |    |                          |                     |  |                   |                  |                          |                                       |
|  |                | DU |                          |                     |  |                   |                  |                          |                                       |
|  | DV             |    |                          |                     |  |                   |                  |                          |                                       |
|  | -+             | DW |                          |                     |  |                   |                  |                          | - <del></del> .                       |
|  |                |    |                          | <del></del>         |  |                   |                  | ·                        | ·                                     |
|  |                | DX |                          |                     |  |                   |                  |                          |                                       |
|  |                | DY |                          |                     |  | <del></del>       | -                |                          |                                       |
|  |                | DZ |                          |                     |  | ··                |                  |                          |                                       |
| Exami  | ner            |    | $\overline{}$            | 0 /                 |  |                   |                  | Date Consid              | dered                                 |
|  |                | /_ | obert &                  | antos               |  |                   |                  | Februa                   | sy 8,2007                             |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.  Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                |    |                          |                     |  |                   |                  |                          |                                       |

PTO/SB/21 (08-03) Approved for use through 07/31/2008, OMB 0651-0031
Patent and Traderrark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission

| Application Number     | 10/633,823<br>August 4, 2003 |  |  |  |  |
|------------------------|------------------------------|--|--|--|--|
| Filing Date            |                              |  |  |  |  |
| First Named Inventor   | Richard H. Heimbrock, et al. |  |  |  |  |
| Art Unit               | Unknown                      |  |  |  |  |
| Examiner Name          | Unknown                      |  |  |  |  |
| Attorney Docket Number | 7175-73257                   |  |  |  |  |

|  | ENCLOSURES (check all that apply                          | 2  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|
| Fee Transmittal Form                                 | Drawing(s)  | After Allowance communication to Group                               |  |  |  |  |  |
| Fee Attached   | Licensing-related Papers                                  | Appeal Communication to Board of Appeals and Interferences           |  |  |  |  |  |
| Amendment / Reply                                    | Petition  | Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |  |  |  |  |  |
| After Final  | Petition to Convert a<br>Provisional Application          | Proprietary Information  |  |  |  |  |  |
| Affidavits/declaration(s)                            | Power of Attorney, Revocation<br>Change of Correspondence | Status Letter  |  |  |  |  |  |
| Extension of Time Request                            | Terminal Disclaimer                                       | Other Enclosure(s) (please identify below):                          |  |  |  |  |  |
| Express Abandonment Request                          | Request for Refund  | PTO Form 1449 (4 pages)  |  |  |  |  |  |
| Information Disclosure Statement                     | CD, Number of CD(s)                                       |  |  |  |  |  |  |
| Certified Copy of Priority Document(s)               | Remarks   |  |  |  |  |  |  |
| Response to Missing Parts/<br>Incomplete Application |   |  |  |  |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53  |   |  |  |  |  |  |  |
| SIGNATUI   | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT                |  |  |  |  |  |  |
| Firm Dilip A. Kulkarni                               |   |  |  |  |  |  |  |
| Individual name                                      |   |  |  |  |  |  |  |
| Signature  | - H. 101  |  |  |  |  |  |  |
| Date October 22, 2003                                |   |  |  |  |  |  |  |
| CF   | CERTIFICATE OF TRANSMISSION/MAILING                       |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 by the USPTO. Time will vary depending upon minutes to complete, including gathering, preparing, and submitting the complete dapplication form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 22, 2003

Date

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the

Von Leigh Wilson

Typed or printed name